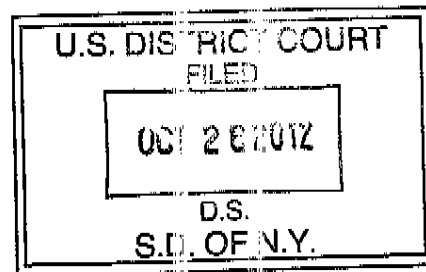


Criminal Notice of Appeal - Form A

NOTICE OF APPEAL

United States District Court

Southern District of New York



Caption:

United States

Carollo, et al.

Docket No.: S1 10-CR-654

Hon. Harold Baer, Jr.

(District Court Judge)

Notice is hereby given that Peter S. Grimm appeals to the United States Court of Appeals for the Second Circuit from the judgment ☒ other (specify) _____ entered in this action on October 25, 2012 (date)

This appeal concerns: Conviction only ☐ Sentence only ☐ Conviction & Sentence ☒ Other ☐Defendant found guilty by plea ☐ trial ☒ N/A ☐Offense occurred after November 1, 1987? Yes ☒ No ☐ N/A ☐Date of sentence: October 18, 2012 N/A ☐Bail/Jail Disposition: Committed ☒ Not committed ☐ N/A ☐

Voluntary surrender on November 26, 2012.

Appellant is represented by counsel? Yes ☒ No ☐ If yes, provide the following information:Defendant's Counsel: Howard E. HeissCounsel's Address: O'Melveny & Myers LLP7 Times Square, New York, NY 10036Counsel's Phone: (212) 326-2116Assistant U.S. Attorney: Antonia R. HillAUSA's Address: Antitrust Division- Department of Justice26 Federal Plaza, Room 3630, New York, NY 10278AUSA's Phone: (212) 335-8000A handwritten signature of Howard E. Heiss in black ink, written over a horizontal line.
Signature